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# On Nilpotent Elements of Skew Polynomial Rings

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**Abstract.** We study the structure of the set of nilpotent elements in skew polynomial ring  $R[x; \alpha]$ , when R is an  $\alpha$ -Armendariz ring. We prove that if R is a nil  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$ , then the set of nilpotent elements of R is an  $\alpha$ -compatible subrug of R. Also, it is shown that if R is an  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$ , then R is nil  $\alpha$ -Armendariz. We give some examples of non  $\alpha$ -Armendariz rings which are nil  $\alpha$ -Armendariz. Moreover, we show that if  $\alpha^t = I_R$  for some positive integer t and R is a nil  $\alpha$ -Armendariz ring and  $nil(R[x][y; \alpha]) =$ nil(R[x])[y], then R[x] is nil  $\alpha$ -Armendariz. Some results of [3] follow as consequences of our results.

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# 1. Introduction

Rege and Chhawchharia ([17]) called a ring R an Armendariz ring if whenever any polynomials  $f(x) = a_0 + a_1x + \cdots + a_mx^m$ ,  $g(x) = b_0 + b_1x + \cdots + b_nx^n \in R[x]$  satisfy f(x)g(x) = 0, then  $a_ib_j = 0$  for any i and j. The name of the ring was given due to Armendariz who proved [4] that reduced rings (i.e. rings without nonzero nilpotent elements) satisfy this condition. Armendariz rings are thus a generalization of reduced rings,

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(see [4, Lemma 1]), and therefore, nilpotent elements play an important role in this class of rings (see [3]). Some properties of Armendariz rings have been studied in [1, 2, 3, 4, 10, 12, 13, 11, 16, 17]. For a ring R with a ring endomorphism  $\alpha : R \to R$ , a skew polynomial ring (also called an Ore extension of endomorphism type)  $R[x; \alpha]$  of R is the ring obtained by giving the polynomial ring over R, the new multiplication  $xr = \alpha(r)x$ for all  $r \in R$  (see [14, Example 1.7]).

The Armendariz property of rings mentioned earlier was extended to skew polynomial rings in [10]: For an endomorphism  $\alpha$  of a ring R, Ris called  $\alpha$ -Armendariz ring if for  $f(x) = a_0 + a_1x + \cdots + a_mx^m$  and  $g(x) = b_0 + b_1x + \cdots + b_nx^n$  in  $R[x; \alpha]$ , f(x)g(x) = 0 implies  $a_ib_j = 0$ for all  $0 \leq i \leq m$  and  $0 \leq j \leq n$ .

Recall that an endomorphism  $\alpha$  of a ring R is called *rigid* (see [11, 13]) if  $a\alpha(a) = 0$  implies a = 0 for  $a \in R$ . A ring R is called  $\alpha$ -rigid if there exists a rigid endomorphism  $\alpha$  of R. Note that any rigid endomorphism of a ring is a monomorphism, and  $\alpha$ -rigid rings are reduced by [9, Proposition 5], and according to [7], an endomorphism  $\alpha$  of a ring R is called *compatible* whenever  $ab = 0 \Leftrightarrow a\alpha(b) = 0$ , for each  $a, b \in R$ . Note that R is  $\alpha$ -rigid if and only if R is  $\alpha$ -compatible and reduced, by [7]. If R is an  $\alpha$ -rigid ring, then for  $p = a_0 + a_1x + \cdots + a_mx^m$  and  $q = b_0 + b_1x + \cdots + b_nx^n$  in  $R[x; \alpha], pq = 0$  if and only if  $a_ib_j = 0$  for all  $0 \leq i \leq m$  and  $0 \leq j \leq n$  ([9, Proposition 6]). Hence  $\alpha$ -rigid rings are  $\alpha$ -Armendariz by [7, Lemma 2.2].

Now, we establish our general notations. All rings considered here are associative and unitary and *subrng* will denote a subring without unit. If R is a ring, nil(R) denotes the set of nilpotent elements in R, R[x] denotes the polynomial ring over R, and if  $f(x) \in R[x]$ , coef(f(x)) denotes the subset of R of the coefficients of f(x). Also, if I is a subset of R, I[x] denotes the set of all polynomials whose coefficients belong to I.

According to Antoine ([3]), a ring R is called to be *nil-Armendariz* if whenever two polynomials  $f(x), g(x) \in R[x]$  satisfy  $f(x)g(x) \in nil(R)[x]$ then  $ab \in nil(R)$  for all  $a \in coef(f(x))$  and  $b \in coef(g(x))$ . Then he studied the conditions under which the polynomial ring over a nil-Armendariz ring is also nil-Armendariz. That conditions are strongly connected to the question of Amitsur of whether or not a polynomial ring over a nil ring is nil.

Motivated by Antoine [3] and Hong, Kwak and Rizvi [10], we introduce the notion of a nil  $\alpha$ -Armendariz ring for an endomorphism  $\alpha$  of a ring R as follows:

**Definition 1.1.** Let  $\alpha$  be an endomorphism of a ring R. R is called nil  $\alpha$ -Armendariz, if whenever two polynomials  $f(x), g(x) \in R[x; \alpha]$  satisfy  $f(x)g(x) \in nil(R)[x]$ , then  $ab \in nil(R)$  for all  $a \in coef(f(x))$  and  $b \in coef(g(x))$ . Let  $\alpha$  be an endomorphism of a ring R and X a nonempty subset of R. We say X is an  $\alpha$ -compatible subset of R, whenever  $ab \in X \Leftrightarrow a\alpha(b) \in X$ . Clearly, R is an  $\alpha$ -compatible ring if and only if  $\{0\}$  is an  $\alpha$ -compatible subset of R.

**Example 1.2.** Let *D* be an integral domain and consider the trivial extension of *D* given by:  $R = \left\{ \begin{pmatrix} a & d \\ 0 & a \end{pmatrix} \mid a, d \in D \right\}$ . Clearly, *R* is a commutative ring. Let  $\alpha : R \to R$  be an automorphism defined by  $\alpha \left( \begin{pmatrix} a & d \\ 0 & a \end{pmatrix} \right) = \begin{pmatrix} a & ud \\ 0 & a \end{pmatrix}$ , where *u* is a fix unit element of *D*. Then:

- 1. R is  $\alpha$ -compatible.
- 2. R is not  $\alpha$ -rigid.
- 3. nil(R) is an  $\alpha$ -compatible ideal of R.
- 4. R is a nil  $\alpha$ -Armendariz ring.

(1) Suppose that  $\begin{pmatrix} a & d \\ 0 & a \end{pmatrix} \begin{pmatrix} b & d_1 \\ 0 & b \end{pmatrix} = 0$ , hence  $ab = 0 = ad_1 + db$ . So a = 0 or b = 0. In each case,  $aud_1 + db = 0$ , hence  $\begin{pmatrix} a & d \\ 0 & a \end{pmatrix} \alpha \left( \begin{pmatrix} b & d_1 \\ 0 & b \end{pmatrix} \right) = 0$ . If  $\begin{pmatrix} a & d \\ 0 & a \end{pmatrix} \alpha \left( \begin{pmatrix} b & d_1 \\ 0 & b \end{pmatrix} \right) = 0$ , then by a similar argument we have  $\begin{pmatrix} a & d \\ 0 & a \end{pmatrix} \begin{pmatrix} b & d_1 \\ 0 & b \end{pmatrix} = 0$ . Therefore R is  $\alpha$ -compatible. (2) If  $d \neq 0$ , then  $\begin{pmatrix} 0 & d \\ 0 & 0 \end{pmatrix} \alpha \left( \begin{pmatrix} 0 & d \\ 0 & 0 \end{pmatrix} \right) = 0$ , but  $\begin{pmatrix} 0 & d \\ 0 & 0 \end{pmatrix} \neq 0$ . Thus R is not  $\alpha$ -rigid. (3) Since  $nil(R) = \left\{ \begin{pmatrix} 0 & d \\ 0 & 0 \end{pmatrix} \mid d \in D \right\}$ , hence nil(R) is an  $\alpha$ -compatible ideal of R.

(4) Suppose that  $f(x) = \sum_{i=0}^{m} A_i x^i$  and  $g(x) = \sum_{j=0}^{n} B_j x^j \in R[x; \alpha]$ , where  $A_i = \begin{pmatrix} a_i & c_i \\ 0 & a_i \end{pmatrix}$  and  $B_j = \begin{pmatrix} b_j & d_j \\ 0 & b_j \end{pmatrix}$  for each  $0 \leq i \leq m$  and  $0 \leq j \leq n$ . Assume that  $f(x)g(x) \in nil(R)[x]$ . Then we have:

$$\sum_{k=0}^{m+n} (\sum_{i+j=k} A_i \alpha^i(B_j)) x^k \in nil(R)[x])$$

We claim that  $A_i \alpha^i(B_j) \in nil(R)$  for all i, j.

(i) Suppose that there is  $A_k = \begin{pmatrix} a_k & c_k \\ 0 & a_k \end{pmatrix}$  with  $a_k \neq 0$  and  $A_0 = \cdots = A_{k-1} = 0$  where  $0 \leq k$ . From Eq.(†),  $A_0B_k + A_1\alpha(B_{k-1}) + \cdots + A_{k-1}\alpha^{k-1}(B_1) + A_k\alpha^k(B_0) \in nil(R)$ , so  $A_k\alpha^k(B_0) \in nil(R)$ . That is

$$\begin{pmatrix} a_k & c_k \\ 0 & a_k \end{pmatrix} \begin{pmatrix} b_0 & u^k d_0 \\ 0 & b_0 \end{pmatrix}$$
$$= \begin{pmatrix} a_k b_0 & a_k u^k d_0 + c_k b_0 \\ 0 & a_k b_0 \end{pmatrix} \in nil(R). \text{ Thus } a_k b_0 = 0 \text{ and so } b_0 = 0,$$

since D is a domain. Then  $B_0 \in nil(R)$ , which implies that  $A_i \alpha^i(B_0) \in nil(R)$ , for each  $0 \leq i \leq m$ , since nil(R) is an  $\alpha$ -compatible ideal of R. Since  $A_0B_{k+1} + A_1\alpha(B_k) + \cdots + A_k\alpha^k(B_1) + A_{k+1}\alpha^{k+1}(B_0) \in nil(R)$ , we have  $A_k\alpha^k(B_1) \in nil(R)$  and so  $b_1 = 0$ , by a similar argument as above. Then  $B_1 \in nil(R)$ , which implies that  $A_i\alpha^i(B_1) \in nil(R)$ , for each  $0 \leq i \leq m$ , since nil(R) is an  $\alpha$ -compatible ideal of R. Continuing this process, we obtain  $B_j \in nil(R)$  for all  $0 \leq j \leq n$ , which implies that  $A_i\alpha^i(B_j) \in nil(R)$  for all i, j.

(ii) Suppose that there is  $B_k = \begin{pmatrix} b_k & d_k \\ 0 & b_k \end{pmatrix}$  with  $b_k \neq 0$  and  $B_0 = \cdots = B_{k-1} = 0$ , where  $0 \leq k$ . By a similar way as used in (i), we can show that  $A_i \in nil(R)$  for each  $0 \leq i \leq m$ , which implies that  $A_i \alpha^i(B_j) \in nil(R)$  for all i, j, since nil(R) is an ideal of R.

(iii) Suppose that 
$$A_i = \begin{pmatrix} 0 & c_i \\ 0 & 0 \end{pmatrix}$$
,  $B_j = \begin{pmatrix} 0 & d_j \\ 0 & 0 \end{pmatrix}$  for all  $i, j$ .

Then

 $A_{i}\alpha^{i}(B_{j}) = \begin{pmatrix} 0 & c_{i} \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & u^{i}d_{j} \\ 0 & 0 \end{pmatrix} = 0 \in nil(R) \text{ for all } i, j. \text{ Therefore}$ R is a nil  $\alpha$ -Armendariz ring, by (i), (ii) and (iii).

In this paper, we prove that if R is a nil  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$ , then the set of nilpotent elements of R is an  $\alpha$ -compatible subrug of R. Also, it is shown that if R is an  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$ , then R is nil  $\alpha$ -Armendariz. Some examples of nil  $\alpha$ -Armendariz rings which are'nt  $\alpha$ -Armendariz are given. Moreover, we show that if  $\alpha^t = I_R$  for some positive integer t and R is a nil  $\alpha$ -Armendariz ring and  $nil(R[x][y; \alpha]) = nil(R[x])[y]$ , then R[x] is nil  $\alpha$ -Armendariz. Some results of ([3]) follow as consequences of our results.

# 2. Polynomial Rings Over Nil $\alpha$ -Armendariz Rings

Recall that an ideal I of a ring R is called an  $\alpha$ -*ideal* if  $\alpha(I) \subseteq I$  (see [14, Page 47]). Clearly, if I is an  $\alpha$ -ideal of R, then  $\overline{\alpha} : R/I \to R/I$  defined by  $\overline{\alpha}(a+I) = \alpha(a) + I$  for  $a \in R$  is an endomorphism of the factor ring R/I. Note that each  $\alpha$ -compatible ideal is  $\alpha$ -ideal, by [6, Proposition 2.1].

Note that the set of nilpotent elements of a ring is not ideal in general, (see [18, 3]). According to ([5]), a ring R is called *semi-commutative* if ab = 0 implies aRb = 0. If R is a semi-commutative ring, then nil(R) is an ideal of R, by ([8, Lemma 2.10]). Also, Example 1.2, shows that there exists a ring R and an endomorphism  $\alpha$  on R such that nil(R) is an  $\alpha$ -compatible ideal of R.

**Proposition 2.1.** Let R be a ring such that nil(R) is an  $\alpha$ -compatible ideal of R. If  $f(x), g(x) \in R[x; \alpha]$  satisfy  $f(x)g(x) \in nil(R)[x]$ , then  $ab \in nil(R)$  for all  $a \in coef(f(x))$  and  $b \in coef(g(x))$ .

**Proof.** Observe that R/nil(R) is reduced. Then, since nil(R) is an  $\alpha$ compatible ideal of R, hence R/nil(R) is an  $\overline{\alpha}$ -rigid ring, by [6]. Suppose
that  $f(x)g(x) \in nil(R)[x]$ . If we denote by  $\overline{f}(x), \overline{g}(x)$  the corresponding
polynomials in  $R/nil(R)[x;\overline{\alpha}]$ , then  $\overline{f}(x)\overline{g}(x) = \overline{0}$ . Since R/nil(R) is

 $\overline{\alpha}$ -rigid,  $\overline{ab} = \overline{0}$  for all  $\overline{a} \in coef(\overline{f}(x))$  and  $\overline{b} \in coef(\overline{g}(x))$ , by [9]. Hence ab is nilpotent for all  $a \in coef(f(x))$  and  $b \in coef(g(x))$ .

Observe that if nil(R) is an  $\alpha$ -compatible ideal of R, then by Proposition 2.1, R is nil  $\alpha$ -Armendariz. More generally we obtain the following.  $\Box$ 

**Proposition 2.2.** Let  $\alpha$  be an endomorphism of a ring R and I an  $\alpha$ -compatible nil ideal of R. Then R is nil  $\alpha$ -Armendariz if and only if R/I is nil  $\overline{\alpha}$ -Armendariz.

**Proof.** We denote  $\overline{R} = R/I$ . Since I is nil, then  $nil(\overline{R}) = nil(R)$ . Hence  $f(x)g(x) \in nil(x)[x]$  if and only if  $\overline{f}(x)\overline{g}(x) \in nil(\overline{R})[x]$ , where  $\overline{f}(x), \overline{g}(x) \in R/I[x;\overline{\alpha}]$ . And, if  $a \in coef(f(x) \text{ and } b \in coef(g(x))$ , then  $ab \in nil(R)$  if and only if  $\overline{ab} \in nil(\overline{R})$ . Therefore R is nil  $\alpha$ -Armendariz if and only if  $\overline{R}$  is nil  $\overline{\alpha}$ -Armendariz.  $\Box$ 

**Lemma 2.3.** Let R be a nil  $\alpha$ -Armendariz ring and  $n \ge 2$ . If  $f_1(x), f_2(x), \dots, f_n(x) \in R[x; \alpha]$  such that  $f_1(x)f_2(x)\cdots f_n(x) \in nil(R)[x]$ , then if  $a_k \in coef(f_k(x))$  for  $k = 1, \dots, n$ , we have  $a_1a_2\cdots a_n \in nil(R)$ .

**Proof.** We use induction on n. The case n = 2 is clear by definition of nil  $\alpha$ -Armendariz ring. Suppose that n > 2. Consider  $h(x) = f_2(x) \cdots f_n(x)$ . Then  $f_1(x)h(x) \in nil(R)[x]$  and hence, since R is nil  $\alpha$ -Armendariz,  $a_1a_h \in nil(R)$  where  $a_h \in coef(h(x))$  and  $a_1 \in coef(f_1(x))$ . Therefore, for all  $a_1 \in coef(f_1(x)), (a_1f_2(x))(f_3(x)\cdots f_n(x)) = a_1h(x) \in nil(R)[x]$ , and by induction, since the coefficients of  $a_1f_2(x)$  are  $a_1a_2$ where  $a_2$  is a coefficient of  $f_2(x)$ , we obtain

 $a_1a_2\cdots a_{n-1}a_n \in nil(R)$  for  $a_k \in coef(f_k(x)), k = 1, \cdots, n$ .  $\Box$ 

**Proposition 2.4.** Let R be a nil  $\alpha$ -Armendariz ring. For  $a, b \in R$ , we have the following:

- 1. If  $ab \in nil(R)$ , then  $\alpha^n(a)b$ ,  $a\alpha^n(b)$  are nilpotent for any positive integer n.
- 2. If  $\alpha^n(a)b \in nil(R)$  or  $a\alpha^n(b) \in nil(R)$  for some positive integer n, then  $ab \in nil(R)$ .
- 3. nil(R) is an  $\alpha$ -compatible subset of R.

## Proof.

(1) Suppose that  $ab \in nil(R)$ . It is enough to show that  $\alpha(a)b \in nil(R)$ . Let  $p = \alpha(a)x$  and q = bx in  $R[x; \alpha]$ . Then  $pq = \alpha(a)\alpha(b)x^2 = \alpha(ab)x^2 \in nil(R)[x]$ . Since R is nil  $\alpha$ -Armendariz,  $\alpha(a)b \in nil(R)$ . Since  $ab \in nil(R)$ , we have  $ba \in nil(R)$ . By a similar argument one can show that  $\alpha(b)a \in nil(R)$ , and hence  $a\alpha(b) \in nil(R)$ .

(2) Suppose that  $a\alpha^n(b) \in nil(R)$ , for some positive integer n. Let  $p = ax^n$  and q = bx in  $R[x; \alpha]$ . Then  $pq = a\alpha^n(b)x^{n+1} \in nil(R)[x]$  and thus  $ab \in nil(R)$ , since R is nil  $\alpha$ -Armendariz.

(3) It follows from (1) and (2).  $\Box$ 

**Theorem 2.5.** Let R be a nil  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$ , for some  $t \ge 1$ . Then we have the following:

- 1. nil(R) is an  $\alpha$ -compatible subrag of R.
- 2. R is an  $\alpha$ -compatible ring.

#### Proof.

(1) The idea of the proof comes from the proof of [3, Theorem 12].

(a) Suppose that a, b are nilpotent and  $b^m = 0$ . Then, since  $\alpha^t = I_R$ ,

$$(a - abx^{t})(1 + bx^{t} + b^{2}x^{2t} + \dots + b^{m-1}x^{t(m-1)}) = a \in nil(R)[x].$$

Since R is nil  $\alpha$ -Armendariz,  $ab \in nil(R)$ .

(b) Suppose a, b, c are nilpotent and  $a^n = b^m = 0$ . Then

 $(1+ax^t+\dots+a^{(n-1)}x^{(n-1)t})(1-ax^t)(1-bx^t)(1+bx^t+\dots+b^{(m-1)}x^{(m-1)t})c = c \in nil(R)[x]$ . Hence  $(1+ax^t+\dots+a^{(n-1)}x^{(n-1)t})(1-(a+b)x^t+abx^{2t})(1+bx^t+\dots+b^{(m-1)}x^{(m-1)t})c = c \in nil(R)[x]$ . Now, since R is nil  $\alpha$ -Armendariz, by Lemma 2.3, we can choose the appropriate coefficients from each polynomial to obtain  $(a+b)c \in nil(R)$ . Similarly we see that  $c(a+b) \in nil(R)$ .

(c) Suppose a, b, c are nilpotent. Then bc and b(a+bc) are nilpotent. Hence  $(1-bx^t)(c+(a+bc)x^t) = c + ax^t - b(a+bc)x^{2t} \in nil(R)[x]$ . Now, since R is nil  $\alpha$ -Armendariz, 1.(a+bc) = a + bc is nilpotent.

(d) Suppose that a, b are nilpotent. Now by applying (c) several times we can see that, since  $a^2$ , a and -b are nilpotent,  $a^2 - ab$  is nilpotent;

hence  $a^2 - ab - ba$  is nilpotent; hence  $a^2 - ab - ba + b^2$  is nilpotent. Therefore  $(a - b)^2$  is nilpotent, which means that a - b is nilpotent. By using (a), (b), (c) and (d) we have nil(R) is a subrug of R. (2) Suppose ab = 0. Let  $f(x) = \alpha(a)x$  and g(x) = bx in  $R[x; \alpha]$ . Then  $f(x)g(x) = \alpha(a)\alpha(b)x^2 = \alpha(ab)x^2 = 0$ . Since R is  $\alpha$ -Armendariz,  $\alpha(a)b = 0$ . By using induction on m one can show that  $\alpha^m(a)b = 0$ . Now, since ab = 0, we have  $\alpha(a)b = 0$ , and hence  $a\alpha^{t-1}(b) = \alpha^t(a)\alpha^{t-1}(b) = \alpha^{t-1}(\alpha(a)b) = 0$ . Then  $\alpha^{t-2}(a)\alpha^{t-1}(b) = 0$ , and so  $a\alpha(b) = 0$ , since  $\alpha$  is monomorphism.

Suppose  $a\alpha(b) = 0$ . Then  $\alpha(a)\alpha(b) = 0$ , by the previous paragraph. Hence ab = 0, since  $\alpha$  is monomorphism. Therefore R is  $\alpha$ -compatible.  $\Box$ 

**Lemma 2.6.** Let R be an  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$  for some  $t \ge 1$ . Then  $nil(R)[x] \subseteq nil(R[x; \alpha])$ .

**Proof.** Suppose that R is an  $\alpha$ -Armendariz ring. Let  $f = a_0 + a_1 x + \dots + a_n x^n \in nil(R)[x]$  and k > 1 such that  $a_i^k = 0$  for all  $i = 0, 1, \dots, n$ . We show that  $f(x)^{(n+1)k} = 0$ . The coefficients of  $f(x)^{(n+1)k}$  can be written as sums of monomials of length (n+1)k in  $\alpha^j(a_i)$ 's, where  $j \ge 0$  and  $i = 0, 1, \dots, n$ . Consider one of these monomials  $\alpha^{j_1}(a_{j_1})\alpha^{j_2}(a_{j_2})\dots\alpha^{j_{(n+1)k}}(a_{i_{(n+1)k}})$  where  $0 \le i_s \le n$  and  $j_s \ge 0$ . Clearly there exists  $\alpha^{j_{s_1}}(a_{i_{s_1}}), \dots, \alpha^{j_{s_k}}(a_{i_{s_k}})$  where  $0 \le i_s \le n$  and  $j_s \ge 0$ . Clearly there exists  $\alpha^{j_{s_1}}(a_{j_0})\alpha^{j_{s_2}}(a_{j_0})\dots\alpha^{j_{s_k}}(a_{j_0}) = 0$ , by  $0 \le s_1 \le s_2 \le \dots \le s_k$  such that  $a_{i_{s_1}} = a_{i_{s_2}} = \dots = a_{i_{s_k}} = a_{j_0}$  for some  $0 \le j_0 \le n$ . Since  $(a_{j_0})^k = 0$ , hence  $\alpha^{j_{s_1}}(a_{j_0})\alpha^{j_{s_2}}(a_{j_0})\dots\alpha^{j_{s_k}}(a_{j_0}) = 0$ , by Theorem 2.5. For  $i_{r_m} \ne i_s$ , let  $f'_{i_{r_m}} = 1 - a_{i_{r_m}}x^t$  and  $f''_{i_{r_m}} = 1 + a_{i_{r_m}}x^t + \dots + a_{i_{r_m}}^{k-1}x^{t(k-1)}$ . Since  $\alpha^t = I_R$ , we have  $f'_{i_{r_m}} f''_{i_{r_m}} = 1$  and observe that  $a_{i_{r_m}}$  is a product of coefficients of  $f'_{i_{r_m}}$  and  $f''_{i_{r_m}}$ . Now we can write the monomial as  $\alpha^{j_1}(a_{i_1})\dots\alpha^{j_{s_{1-1}}}(a_{i_{s_{2+1}}})\dots\alpha^{j_{s_{1+1}}}(a_{i_{s_{1+1}}})\dots$  $\alpha^{j_{s_{2}-1}}(a_{i_{s_{2}-1}})\alpha^{j_{s_{2}}}(a_{j_{0}})\alpha^{j_{s_{2}+1}}(a_{i_{s_{2}+1}})\dots\alpha^{j_{(n+1)k}}(a_{i_{(n+1)k}})$ . By replacing each  $\alpha^{j_{r_m}}(a_{i_{r_m}})$  by the product  $f'_{i_{r_m}}(x)f''_{i_{r_m}}(x)$ , and since  $\alpha^{j_{s_{1}-1}}(x)f''_{i_{s_{1}-1}}(x)f''_{i_{s_{1}-1}}(x)\alpha^{j_{s_{1}}}(a_{j_{0}})f'_{i_{s_{1}+1}}(x)f''_{i_{s_{1}+1}}(x) \dots$  $f'_{i_{s_{k}-1}}(x)f''_{i_{s_{k}-1}}(x)\alpha^{j_{s_{k}}}(a_{j_{0}})f'_{i_{s_{k}+1}}(x)\cdots f'_{i_{(n+1)k}}(x)f''_{i_{(n+1)k}}(x) = 0$ . Now, since R is  $\alpha$ -Armendariz, by Lemma 2.3, we can choose a coefficient from each of the polynomials in the last equality and the product will be 0. Hence  $\begin{array}{l} a_{i_{1}}a_{i_{2}}\cdots a_{i_{s_{1}-1}}\alpha^{j_{s_{1}}}(a_{j_{0}})a_{i_{s_{1}+1}}\cdots a_{i_{s_{k}-1}}\alpha^{j_{s_{k}}}(a_{j_{0}})a_{i_{s_{k}+1}}\cdots a_{i_{(n+1)k}}=0. \text{ Thus }\\ \alpha^{j_{1}}(a_{i_{1}})\alpha^{j_{2}}(a_{i_{2}})\cdots \alpha^{j_{s_{1}-1}}(a_{i_{s_{1}-1}})\alpha^{j_{s_{1}}}(a_{s_{1}})\alpha^{j_{s_{1}+1}}(a_{i_{s_{1}+1}})\cdots \\ \alpha^{j_{s_{k}-1}}(a_{i_{s_{k}-1}})\alpha^{j_{s_{k}}}(a_{s_{k}})\alpha^{j_{s_{k}+1}}(a_{i_{s_{k}+1}})\cdots \alpha^{j_{(n+1)k}}(a_{i_{(n+1)k}})=0, \text{ since } R \text{ is }\\ \alpha\text{-compatible and } a_{i_{s_{1}}}=a_{i_{s_{2}}}=\cdots=a_{i_{s_{k}}}=a_{j_{0}}. \text{ Therefore, we have }\\ \text{proved that all the monomials appearing in the coefficients of } f(x)^{(n+1)k} \\ \text{are } 0. \text{ Hence } f(x) \in nil(R[x;\alpha]). \quad \Box \end{array}$ 

**Proposition 2.7.** If R is an  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$  for some  $t \ge 1$ , then R is nil  $\alpha$ -Armendariz.

**Proof.** Suppose that  $f(x), g(x) \in R[x; \alpha]$  such that  $f(x)g(x) \in nil(R)[x]$ . By Lemma 2.6, f(x)g(x) is nilpotent and there exists  $k \ge 1$  such that  $(f(x)g(x))^k = 0$ . Hence, since R is  $\alpha$ -Armendariz, for all  $a \in coef(f(x)$  and  $b \in coef(g(x))$ , by choosing the corresponding coefficient in each polynomial, we have  $abab \cdots ab = 0$  and thus  $ab \in nil(R)$ . Therefore R is nil  $\alpha$ -Armendariz.  $\Box$ 

**Corollary 2.8.** [3, Proposition 2.7] If R is an Armendariz ring, then R is nil-Armendariz.

**Proof.** It follows from Proposition 2.7, whenever  $\alpha = id_R$ .

The following examples show that there exists a ring R with an automorphism  $\alpha$  such that R is nil  $\alpha$ -Armendariz but not  $\alpha$ -Armendariz.  $\Box$ 

**Example 2.9.** Let  $R = \begin{bmatrix} F & F \\ 0 & F \end{bmatrix}$ , where F is a filed and an endomorphism of R defined by  $\alpha(\begin{bmatrix} a & b \\ 0 & c \end{bmatrix}) = \begin{bmatrix} a & -b \\ 0 & c \end{bmatrix}$ . By [10, Example 1.12] R is not  $\alpha$ -armendariz. We claim that R is nil  $\alpha$ -Armendariz. Clearly  $nil(R) = \begin{bmatrix} 0 & F \\ 0 & 0 \end{bmatrix}$  is an ideal of R. Now we show that nil(R) is  $\alpha$ -compatible. Let  $A = \begin{bmatrix} a & b \\ 0 & c \end{bmatrix}$  and  $B = \begin{bmatrix} a' & b' \\ 0 & c' \end{bmatrix} \in R$  such that  $AB \in nil(R)$ . Then aa' = 0 = cc', since F is a filed. Hence a' = c' = 0 or a = c' = 0 or a = c = 0 or a' = c = 0. Let a' = c = 0. Then  $A\alpha(B) = \begin{bmatrix} 0 & -ab' + bc' \\ 0 & 0 \end{bmatrix} \in nil(R)$ . In each other cases, by a similar

argument one can show that  $A\alpha(B) \in nil(R)$ .

Now assume that  $A\alpha(B) \in nil(R)$ . Then by a similar argument as above one can show that  $AB \in nil(R)$ . Thus nil(R) is an  $\alpha$ -compatible ideal of R, and hence by Proposition 2.1, R is nil  $\alpha$ -Armendariz.

**Example 2.10.** Let  $\mathbb{Z}$  be the set of all integers. Consider the ring  $R = \left\{ \begin{bmatrix} a & c \\ 0 & b \end{bmatrix} | a - b \equiv c \equiv 0 \mod(2) \text{ and } a, b, c \in \mathbb{Z} \right\}$ . Let  $\alpha : R \to R$  be an endomorphism defined by  $\alpha \begin{pmatrix} \begin{bmatrix} a & c \\ 0 & b \end{bmatrix} \end{pmatrix} = \begin{bmatrix} a & -c \\ 0 & b \end{bmatrix}$ . Then R is not  $\alpha$ -Armendariz. For,  $p = \begin{bmatrix} 2 & 2 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 2 \\ 0 & 0 \end{bmatrix} x$  and  $q = \begin{bmatrix} 0 & 2 \\ 0 & -2 \end{bmatrix} + \begin{bmatrix} 0 & 2 \\ 0 & -2 \end{bmatrix} + \begin{bmatrix} 0 & 2 \\ 0 & 0 \end{bmatrix} x \in R[x; \alpha]$ , we have pq = 0, but  $\begin{bmatrix} 0 & 2 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 2 \\ 0 & -2 \end{bmatrix} \neq 0$ . Since  $nil(R) = \left\{ \begin{bmatrix} 0 & c \\ 0 & 0 \end{bmatrix} | c \in 2\mathbb{Z} \right\}$  is an  $\alpha$ -compatible ideal of R, hence by Proposition 2.1, R is nil  $\alpha$ -Armendariz.

Example 2.11. shows that there exists a nil  $\alpha$ -Armendariz ring R such that  $\alpha(e) \neq e$  for some  $e^2 = e \in R$ . For example  $e = \begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix}$  is an idempotent of R and  $\alpha(e) \neq e$ . Recall that a ring R is called *abelian*, if each idempotent of R is central.

**Proposition 2.11.** Let R be an abelian ring with  $\alpha(e) = e$  for any  $e = e^2 \in R$ . Then the following statements are equivalent:

- 1. R is nil  $\alpha$ -Armendariz;
- 2. eR and (1-e)R are nil  $\alpha$ -Armendariz for any  $e = e^2 \in R$ ;
- 3. eR and (1-e)R are nil  $\alpha$ -Armendariz for some  $e = e^2 \in R$ .

**Proof.** It is enough to show  $(3) \Rightarrow (1)$ . Let  $p = \sum_{i=0}^{m} a_i x^i$  and  $q = \sum_{j=0}^{n} b_j x^j$  in  $R[x; \alpha]$  with  $pq \in nil(R)[x]$ . Then  $(ep)(eq) \in nil(eR)[x]$  and  $((1-e)p)((1-e)q) \in nil((1-e)R)[x]$  for some  $e = e^2 \in R$  by hypothesis. Since eR and (1-e)R are nil  $\alpha$ -Armendariz, we have  $ea_ib_j \in nil(eR)$  and  $(1-e)a_ib_j \in nil(1-e)R$ , for all  $0 \leq i \leq m$  and  $0 \leq i \leq m$ .

 $j \leq n$ . Let  $k \geq 1$ , such that  $(ea_ib_j)^k = 0 = ((1-e)a_ib_j)^k$ . Then  $(a_ib_j)^k = ((ea_ib_j) + (1-e)a_ib_j)^k = (ea_ib_j)^k + ((1-e)a_ib_j)^k = 0$ , since  $(ea_ib_j)((1-e)a_ib_j) = 0 = ((1-e)a_ib_j)(ea_ib_j)$ . Therefore R is nil  $\alpha$ -Armendariz.  $\Box$ 

**Lemma 2.12.** If R is a nil  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$ , for some  $t \ge 1$ , then  $nil(R[x; \alpha]) \subseteq nil(R)[x]$ .

**Proof.** Suppose that  $f(x) \in nil(R[x; \alpha])$  and  $f(x)^m = 0$  for some  $m \ge 1$ . By Lemma 2.3, we have  $a_1 \cdots a_m \in nil(R)$  where  $a_i \in coef(f(x))$  for  $i = 1, \cdots, m$ . In particular, for every  $a \in coef(f(x))$ ,  $a^m$  is nilpotent. Therefore  $a \in nil(R)$  for all  $a_i \in coef(f(x))$  and hence  $f(x) \in nil(R)[x]$ .  $\Box$ 

**Proposition 2.13.** Let R be a nil ring. Then R is nil  $\alpha$ -Armendariz for each endomorphism  $\alpha$  over R.

**Proof.** Since nil(R) = R, hence  $a\alpha(b) \in nil(R)$ , for each  $a, b \in R$ . Smoktunowicz [18] proved that for each countable filed K there is a nil algebra R over K (generated by three elements), such that polynomial algebra R[x] over R is not nil. In Lemma 2.13 we have seen the other inclusion for  $\alpha$ -Armendariz rings which  $\alpha^t = I_R$ , hence we have proved:  $\Box$ 

**Corollary 2.14.** If R is an  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$ , for some  $t \ge 1$ , then  $nil(R[x; \alpha]) = nil(R)[x]$ .

**Corollary 2.15.** [3, Corollary 5.2] If R is an Armendariz ring, then nil(R[x]) = nil(R)[x].

**Theorem 2.16.** Let R be a nil  $\alpha$ -Armendariz ring and  $\alpha^t = I_R$ , for some  $t \ge 1$ . Then  $R[x; \alpha]$  is nil-Armendariz if and only if  $nil(R[x; \alpha]) = nil(R)[x]$ .

**Proof.** If  $R[x; \alpha]$  is nil-Armendariz, by Theorem 2.5, we have that  $nil(R[x; \alpha])$  is a subrag of  $R[x; \alpha]$ . Let  $a \in nil(R)$ . Since nil(R) is an  $\alpha$ -compatible subrag of R, we have that  $a\alpha(a) \cdots \alpha^{t-1}(a) \in nil(R)$ . If  $(a\alpha(a) \cdots \alpha^{t-1}(a))^s = 0$ , then since  $\alpha^t = I_R$ , we have  $(ax)^{st} =$ 

 $(a\alpha(a)\cdots\alpha^{t-1}(a))^{st}x^{st}=0$ . By a similar argument one can show that  $ax^r$  is nilpotent for any  $r \ge 2$ . Hence  $nil(R)[x] \subseteq nil(R[x;\alpha])$ . Now, since R is nil  $\alpha$ -Armendariz, by Lemma 2.12, we have the other inclusion. Hence  $nil(R[x;\alpha]) = nil(R)[x]$ .

Now suppose that  $nil(R[x;\alpha]) = nil(R)[x]$ . Let  $f(y), g(y) \in R[x;\alpha][y]$  such that  $f(y)g(y) \in nil(R[x;\alpha])[y]$ . Also, let  $f(y) = f_0(x) + f_1(x)y + \dots + f_m(x)y^m$  where  $f_i(x) = \sum_{k=0}^{s_i} f_{i_k} x^k$  and  $g(y) = g_0(x) + g_1(x)y + \dots + g_n(x)y^n$  where  $g_j(x) = \sum_{\ell=0}^{t_j} g_{j_\ell} x^\ell$ , and  $M > max\{deg(f_i(x)), deg(g_j(x))\}$  for any  $0 \leq i \leq m$  and  $0 \leq j \leq n$ , where the degree is as polynomials in R[x] and the degree of zero polynomial is taken to be 0. Let  $f(x^{tM}) = f_0(x) + f_1(x)x^{tM} + \dots + f_m(x)x^{tmM}$ , and  $g(x^{tM}) = g_0(x) + g_1(x)x^{tM} + \dots + g_n(x)x^{tnM}$  in  $R[x;\alpha]$ . Then the set of coefficients of  $f_i(x)$ 's (resp.,  $g_j(x)$ 's) equals the set of coefficients of  $f(x^{tM})$  (resp.,  $g(x^{tM})$ ). Since  $f(y)g(y) \in nil(R[x;\alpha])[y], x^{tM}$  commutes with elements of R in  $R[x;\alpha]$ , and  $nil(R[x;\alpha]) = nil(R)[x]$  is a subrup of  $R[x;\alpha]$ , we have  $f(x^{tM})g(x^{tM}) \in nil(R[x;\alpha]) = nil(R)[x]$ . Since nil(R) is an  $\alpha$ -compatible subrup of R, we have  $f_i(x)g_j(x) \in nil(R)[x]$ . Finally, since  $nil(R[x;\alpha]) = nil(R)[x], f_i(x)g_j(x)$  is nilpotent.  $\Box$ 

**Corollary 2.17.** [3, Theorem 5.3] Let R be a nil-Armendariz ring. Then R[x] is nil-Armendariz if and only if nil(R[x]) = nil(R)[x].

**Proof.** It follows from Theorem 2.17, wheneve  $\alpha = id_R$ . Recall that if  $\alpha$  is an endomorphism of a ring R, then the map  $\alpha$  can be extended to an endomorphism of the polynomial ring R[x] defined by  $\sum_{i=0}^{m} a_i x^i \mapsto \sum_{i=0}^{m} \alpha(a_i) x^i$ . We shall also denote the extended map  $R[x] \to R[x]$  by  $\alpha$  and the image of  $f \in R[x]$  by  $\alpha(f)$ .  $\Box$ 

**Theorem 2.18.** Let  $\alpha$  be an endomorphism of a ring R and  $\alpha^t = I_R$  for some positive integer t. If R is a nil  $\alpha$ -Armendariz ring and  $nil(R[x][y;\alpha]) = nil(R[x])[y]$ , then R[x] is nil  $\alpha$ -Armendariz.

**Proof.** Let  $f(y), g(y) \in R[x][y; \alpha]$  such that  $f(y)g(y) \in nil(R[x])[y]$ . Let  $f(y) = f_0(x) + f_1(x)y + \dots + f_m(x)y^m$  where  $f_i(x) = \sum_{k=0}^{s_i} f_{i_k}x^k$ and  $g(y) = g_0(x) + g_1(x)y + \dots + g_n(x)y^n$  where  $g_j = \sum_{\ell=0}^{t_j} g_{j_\ell}x^\ell$ . Then  $h_0(x) = f_0(x)g_0(x) \in nil(R[x]),$  
$$\begin{split} h_1(x) &= f_0(x)g_1(x) + f_1(x)\alpha(g_0(x)) \in nil(R[x]), \\ h_2(x) &= f_0(x)g_2(x) + f_1(x)\alpha(g_1(x)) + f_2(x)\alpha^2(g_0(x)) \in nil(R[x]), \end{split}$$

:

 $\begin{aligned} h_{m+n}(x) &= f_m(x)\alpha^m(g_n(x)) \in nil(R[x]).\\ \text{Hence} \\ h_0(x^t) &= f_0(x^t)g_0(x^t) \in nil(R[x]),\\ h_1(x^t) &= f_0(x^t)g_1(x^t) + f_1(x^t)\alpha(g_0(x^t)) \in nil(R[x]),\\ h_2(x^t) &= f_0(x^t)g_2(x^t) + f_1(x^t)\alpha(g_1(x^t)) + f_2(x^t)\alpha^2(g_0(x^t)) \in nil(R[x]), \end{aligned}$ 

÷

$$h_{m+n}(x^t) = f_m(x^t)\alpha^m(g_n(x^t)) \in nil(R[x]).$$
  
Thus

 $(f_0(x^t) + f_1(x^t)y + f_2(x^t)y^2 + \dots + f_m(x^t)y^m)(g_0(x^t) + g_1(x^t)y + g_2(x^t)y^2 + \dots + g_n(x^t)y^n) \in nil(R[x])[y].$ 

Let  $M > max\{ts_i, tt_j\}_{i,j}, f(x^{Mt+1}) = f_0(x^t) + f_1(x^t)x^{Mt+1} + \cdots + f_m(x^t)x^{(Mt+1)m}$  and  $g(x^{Mt+1}) = g_0(x^t) + g_1(x^t)x^{Mt+1} + \cdots + g_n(x^t)x^{(Mt+1)n}$ in R[x]. Then the set of coefficients of the  $f_i$ 's (resp.,  $g_j$ 's) equals the set of coefficients of  $f(x^{Mt+1})$  (resp.,  $g(x^{Mt+1})$ ). Since  $\alpha^t = I_R$ , the set of coefficients of the  $h_i$ 's equals the set of coefficients of  $f(x^{Mt+1})g(x^{Mt+1})$  in  $R[x;\alpha]$ . Also, since  $nil(R[x;\alpha]) = nil(R)[x], f(x^{Mt+1})g(x^{Mt+1}) \in nil(R)[x]$ . Since R is nil  $\alpha$ -Armendariz,  $f_{i_k}g_{j_\ell} \in nil(R)$ . Now, since nil(R) is a subring of  $R, \alpha^t = I_R$  and  $nil(R[x;\alpha]) = nil(R)[x]$ , we have that  $f_i(x^t)g_j(x^t) \in nil(R[x;\alpha])$  and so  $f_i(x^t)g_j(x^t)$  is nilpotent, for each i, j.  $\Box$ 

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